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DELAWARE RIVER BASIN LAKEVILLE CREEK, WAYNE COUNTY

PENNSYLVANIA



Lake Paupackan Dam

NDI ID NO. PA-00140 DER ID NO. 64-33

PAUPACKAN LAKE ESTATES

PHASE I INSPECTION REPORT
NATIONAL DAM INSPECTION PROGRAM



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Prepared by white Bannett Fleming Corddry and Carpenter, Inc.

Consulting Engineers

Harrisburg, Pennsylvania 17105

For

DEPARTMENT OF THE ARMY
Baltimore District, Corps of Engineers
Baltimore, Maryland 21205



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MARCH 1981

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LAKEVILLE CREEK, WAYNE COUNTY

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LAKE PAUPACKAN DAM

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PAUPACKAN LAKE ESTATES

National Dam Inspection Program. Lake Paupackan Dam (NDI ID Number PA-00140, DER ID Number 64-33), Delaware River Basin, Lakeville, Creek, Wayne County, Pennsylvania. Phase I Inspection Report.

PHASE I INSPECTION REPORT

NATIONAL DAM INSPECTION PROGRAM

Prepared by

GANNETT FLEMING CORDDRY AND CARPENTER, INC.

Consulting Engineers
P.O. Box 1963

Harrisburg Pennsylvania 17105 Contract DACW3/-8/-C-0018

DEPARTMENT OF THE ARMY
Baltimore District, Corps of Engineers
Baltimore, Maryland 21203

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PREFACE

This report is prepared under guidance contained in Recommended Guidelines for Safety Inspection of Dams, for Phase I Investigations. Copies of these guidelines may be obtained from the Office of Chief of Engineers, Washington, D.C. 20314. The purpose of a Phase I Investigation is to identify expeditiously those dams which may pose hazards to human life or property. The assessment of the general condition of the dam is based upon available data and visual inspections. Detailed investigations, and analyses involving topographic mapping, subsurface investigations, testing, and detailed computational evaluations are beyond the scope of a Phase I Investigation; however, the investigation is intended to identify any need for such studies.

In reviewing this report, it should be realized that the reported condition of the dam is based on observations of field conditions at the time of inspection along with data available to the inspection team. In cases where the reservoir was lowered or drained prior to inspection, such action, while improving the stability and safety of the dam, removes the normal load on the structure and may obscure certain conditions which might otherwise be detectable if inspected under the normal operating environment of the structure.

It is important to note that the condition of a dam depends on numerous and constantly changing internal and external conditions, and is evolutionary in nature. It would be incorrect to assume that the present condition of the dam will continue to represent the condition of the dam at some point in the future. Only through continued care and inspection can there be any chance that unsafe conditions be detected.

Phase I inspections are not intended to provide detailed hydrologic and hydraulic analyses. In accordance with the established Guidelines, the spillway design flood is based on the estimated "Probable Maximum Flood" for the region (greatest reasonably possible storm runoff), or fractions thereof. The spillway design flood provides a measure of relative spillway capacity and serves as an aid in determining the need for more detailed hydrologic and hydraulic studies, considering the size of the dam, its general condition and the downstream damage potential.

LAKE PAUPACKAN DAM

NDI ID No. PA-00140; DER ID No. 64-33

PHASE I INSPECTION REPORT

NATIONAL DAM INSPECTION PROGRAM

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PHASE I INSPECTION REPORT NATIONAL DAM INSPECTION PROGRAM

BRIEF ASSESSMENT OF GENERAL CONDITION

AND

RECOMMENDED ACTION

Name of Dam:

Lake Paupackan Dam NDI ID No. PA-00140 DER ID No. 64-33

Size:

Intermediate (11 feet high; 1,188 acre-

feet)

Hazard

Classification:

Significant

Owner:

Paupackan Lake Estates

P.O. Box 60

Lakeville, PA 18438

Attention: Mr. Jim Birmingham

State Located:

Pennsylvania

County Located:

Wayne

Stream:

Lakeville Creek

Date of Inspection: 11 November 1980

Based on available records, visual inspection, calculations, and past operational performance, Lake Paupackan Dam is judged to be in fair condition. Considering the size and hazard classification of the dam, the recommended Spillway Design Flood (SDF) varies between the 1/2 Probable Maximum Flood (PMF) and the PMF. The 1/2 PMF was, in this case, selected as the SDF. The spillway and reservoir, under existing conditions, will pass approximately 19 percent of the PMF before overtopping of the dam occurs. The spillway is, therefore, rated as inadequate.

No obvious stability problems were observed at the dam. However, the downstream slope and toe of the dam were covered with uncompacted earth and debris and could not be inspected. There are a number of conditions at the dam

which could rapidly develop into stability problems if allowed to go unchecked. Overall, maintenance of the dam has been inadequate.

The following studies and remedial measures, listed in approximate order of priority, are recommended to be undertaken by the Owner without delay.

- (1) Remove all debris, brush, and trees from the top of dam, downstream slope, and toe of the dam; and then perform an inspection of these areas. This inspection should include a close examination of the seepage described in this report. As a minimum, the seepage should be visually monitored. If a change in the seepage occurs or if any other deficiencies are noted during the inspection, take appropriate action as required.
- (2) Perform additional studies to more accurately ascertain the spillway capacity required for Lake Paupackan Dam and develop alternatives to provide adequate spillway capacity. Take appropriate action as required.
- (3) Perform additional studies to determine the extent of measures required to repair or reconstruct the existing spillway. This may be completed in conjunction with recommendation (2) above.
- (4) Determine the ability of the outlet works to draw down the reservoir to an appropriate level. If the existing outlet works cannot draw the pool down, develop a suitable means of drawing down the reservoir in case of an emergency. Any pipe that is placed through the embankment should be provided with an upstream closure facility.
- (5) Repair the eroded and sloughed areas on the upstream slope and provide adequate erosion protection.
- All investigations, studies, designs, and inspection of construction should be performed by a professional engineer experienced in the design and construction of dams.

In addition, the Owner should institute the following operational and maintenance procedures:

The second secon

- (1) Develop a detailed emergency operation and warning system for Lake Paupackan Dam. When warnings of a major storm are given by the National Weather Service, the Owner should activate the emergency operation and warning system.
- (2) During periods of unusually heavy rains, provide round-the-clock surveillance of the dam.

- (3) Initiate an inspection program such that the dam is inspected on a regular basis. As presently required by the Commonwealth, the inspection program should include a formal annual inspection by a professional engineer experienced in the design and construction of dams. Utilize the inspection results to determine if remedial measures are necessary.
- (4) Institute a maintenance program and develop a formal maintenance manual so that all features of the dam are properly maintained.

LAKE PAUPACKAN DAM

Submitted by:

FREDERICK FUTCHKO

ENGINEER

No. 28195-E

GANNETT FLEMING CORDDRY AND CARPENTER, INC.

FREDERICK FUTCHKO
Project Manager, Dam Section

Date: 13 April 1981

Approved by:

DEPARTMENT OF THE ARMY BALTIMORE DISTRICT, CORPS OF ENGINEERS

JAMES W. PECK

Colonel, Corps of Engineers

District Engineer

Date: 11 MA 8)



LAKE PAUPACKAN DAM

LAKE PAUPACKAN DAM

NDI ID No. PA-00140; DER ID No. 64-33

PHASE I INSPECTION REPORT

NATIONAL DAM INSPECTION PROGRAM

SECTION 1

PROJECT INFORMATION

1.1 General.

- a. Authority. The Dam Inspection Act, Public Law 92-367, authorized the Secretary of the Army, through the Corps of Engineers, to initiate a program of inspection of dams throughout the United States.
- b. <u>Purpose</u>. The purpose of the inspection is to determine if the dam constitutes a hazard to human life or property.

1.2 Description of Project.

a. Dam and Appurtenances. Lake Paupackan Dam (formerly Long Pond Dam) is an earthfill structure of varying cross-section. The dam is approximately 390 feet long and 11 feet high. An asphalt roadway is located on the top of the dam which provides access to Paupackan Lake Estates. The top of dam width varies from about 15 to 23 feet.

The spillway consists of a 13-foot wide concrete weir which discharges into 36- and 48-inch corrugated metal pipes (CMP). The 36-inch CMP has a 2-foot high by 4-foot wide concrete entrance. Both pipes are 32 feet long and discharge into the stream channel at the toe of the dam.

The outlet works valve chamber is located on the right side of the spillway entrance. Two 16-inch steel pipes exit the chamber at the toe of the spillway weir. The other features of the outlet works could not be determined during the inspection.

The various features of the dam are shown on the photographs in Appendix C, Exhibit B-1, and Plate E-2. A description of the geology is included in Appendix F.

- b. Location. Lake Paupackan Dam is located on Lakeville Creek approximately 2.2 miles upstream of Lake Wallenpaupack and 1.3 miles northwest of Lakeville in Paupack Township, Wayne County, Pennsylvania. The dam is located on USGS quadrangle Lakeville, Pennsylvania at latitude N 41° 27.3' and longitude W 75° 17.4'. A location map is shown on Plate E-1.
- c. <u>Size Classification</u>. Intermediate (11 feet high, 1,188 acre-feet).
- d. <u>Hazard Classification</u>. Downstream conditions indicate that a significant hazard classification is warranted for Lake Paupackan Dam (Paragraphs 3.1e and 5.1c).
- e. Ownership. Paupackan Lake Estates, P.O. Box 60, Lakeville, PA 18438, Attn: Mr. Jim Birmingham.
 - f. Purpose of Dam. Recreation.
- Design and Construction History. Lake Paupackan Dam was constructed sometime prior to 1914. The dam is situated several hundred feet downstream from the outlet of a natural pond which existed prior to constructing the dam. The "Survey of Lakes" conducted in 1914 by the Pennsylvania Water Supply Commission (PWSC) indicated that the dam was an earthfill structure approximately 200 feet long, 5 feet high, and had a top of dam width of 18 feet. A report dated 1919, also by the PWSC, stated that the dam had dry stone masonry walls on the upstream and downstream sides of the dam. A number of modifications were made to the dam during the following years, none of which are very well documented. Modifications apparently made to the dam during the period 1919 to date include:
- (1) Addition of an earthfill section on the upstream side of the dam. It is unknown whether or not the stone masonry wall was removed prior to constructing the existing slope.
 - (2) Reconstruction of the spillway.
- (3) Raising of the dam. The dam was reportedly raised 2 to 4 feet sometime between 1959 and 1962.

Numerous minor repairs and possibly other modifications have also been made to the dam during its operational history.

h. Normal Operational Procedures. Inflows to the reservoir are discharged over the spillway weir and through the 36- and 48-inch pipes. There is no established procedure for operation of the outlet works facilities.

1.3 Pertinent Data.

Drainage Area. (square miles)	2.62
Discharge at Damsite. (cfs)	
Maximum known flood	1955-discharge
Outlet works Spillway (pool el. 1338.7)	unknown Unknown 283
Elevation. (feet above msl.)1	
Minimum Top of Dam Maximum Pool Normal Pool (Spillway Crest) Streambed at Toe of Dam	1338.7 1338.7 1337.0 1327.4
Reservoir Length. (miles)	
Normal Pool Maximum Pool	1.84 2.12
Storage. (acre-feet) ²	
Normal Pool Maximum Pool	765 1,188
Reservoir Surface. (acres)	
Normal Pool Maximum Pool	239 261
Dam.	
Type	Earthfill
Length (feet)	390
Height (feet)	11
Top Width (feet)	Varies, 15 to 28
Side Slopes	
Upstream	Obscured by reservoir
Downstream	Obscured

valve chamber marked 1338 feet.

²Measured from streambed at toe of dam; does not include natural lake storage.

Dam. (Cont'd) g. Unknown Zoning Unknown Cutoff Grout Curtain Unknown Drains None Diversion and Regulating Tunnel None 1. Spillway. Type Concrete weir with 36- and 48-inch outlet pipes (CMP) Length (feet) 50+ Base Width at Entrance (feet) 13 Crest Elevation (feet msl.) 1337.0 Gates None Downstream Channel Natural stream channel j. Regulating Outlets. Two 16-inch Type dia. steel pipes Inlet Invert Elevation Unknown (feet msl.)

Exit Invert Elevation (feet msl.)

Closure

1330.3

Unknown

ENGINEERING DATA

2.1 Design.

- a. <u>Data Available</u>. No design data are available for the dam or subsequent modifications.
- b. <u>Design Features</u>. The various features of the dam are described in Paragraph 1.2a and are shown on the photographs in Appendix C and on Plate E-2 in Appendix E.
- c. <u>Design Considerations</u>. The design of the dam cannot be assessed from available data.

2.2 Construction.

- a. <u>Data Available</u>. There are no construction data available for Lake Paupackan Dam.
- b. Construction Considerations. The construction of the dam cannot be assessed from available data.
- 2.3 Operation. There are no formal records of operation. A record of operation does exist in the form of inspections performed by the Commonwealth during the period from 1924 to 1965. A summary of the inspection reports is included in Appendix A.

2.4 Evaluation.

- a. Availability. Limited engineering data were provided by the Bureau of Dams and Waterway Management, Department of Environmental Resources, Commonwealth of Pennsylvania (PennDER). The Owner's representative was not available for information during the visual inspection.
- b. Adequacy. The type and amount of available design data and other engineering data are limited, and the assessment must, therefore, be based on the combination of available data, visual inspection, performance history, hydrologic and hydraulic assumptions, and calculations developed for this report.
- c. Validity. There is no reason to question the validity of the available data.

VISUAL INSPECTION

3.1 Findings.

- a. General. The overall appearance of the dam and appurtenant structures is fair. Noteworthy deficiencies observed are described in the following paragraphs. The complete visual inspection checklist and sketch of the dam are presented in Appendix B. A profile of the top of the dam and typical cross-sections are included in Appendix E. On the day of the inspection, the reservoir pool was approximately 0.3 foot below the spillway crest.
- Embankment. The downstream slope and toe of the embankment are covered with uncompacted earth, woody material and tree slashings. The slope and toe area could, therefore, not be inspected. The upper portion of the downstream slope, the downstream edge of the top of dam, and the toe of the dam are covered with brush and small trees up to 2 inches in diameter. The upstream slope of the embankment is eroded and sloughed along its entire length. Some rock was observed on the slope at the normal pool level which may have at one time formed the upstream face of the The rock, however, affords very little protection against erosion. Seepage was observed exiting through the debris near the toe of the embankment approximately 40 feet left of the spillway and 25 feet from the downstream edge of the road. The seepage was clear and was estimated at 20 gallons per minute (gpm.)
- c. Appurtenant Structures. The bottom of the lower spillway outlet pipe is completely disintegrated. Very little soil was observed along the bottom of the pipe, indicating that the finer soil particles may have been transported from the dam by water flowing along the pipe. However, no active transporting of fines was observed on the day of the inspection. One section of the upper spillway outlet pipe, approximately 8 feet from the entrance, was separated vertically approximately 1 to 2 inches. No intrusion of soil particles into the pipe was observed. The entrance to the lower outlet pipe was obstructed with debris. Some erosion of the concrete spillway weir and deterioration of the spillway entrance wall was also observed.

The overall condition of the outlet works facilities is unknown as the valve chamber was locked and, therefore, could not be inspected. The exit end of the outlet pipes were visible and were found to be somewhat corroded.

- d. Reservoir Area. Lake Paupackan covers approximately 14 percent of the watershed area. Although the area along the western side of the lake is developed, most of the watershed is wooded and has no other reservoirs or ponds within its boundaries. The hills in the area rise to a maximum elevation of about 200 feet above the reservoir and are gently to moderately sloping. According to correspondence contained in the files of PennDER, a low area reportedly exists at the upper end of the watershed which would be overtopped during high reservoir stages. An inspection of this area, however, revealed no low area of this nature.
- e. <u>Downstream Channel</u>. The stream valley between Lake Paupackan Dam and Locklin Pond is wooded and undeveloped. Locklin Pond is located approximately 1,500 feet downstream from the dam. Locklin Pond Dam, located about 1.3 miles downstream, is 13 feet high and impounds 448 acre-feet at maximum pool. It is estimated that failure of Lake Paupackan Dam could cause failure of Locklin Pond Dam and severe flooding of one residence located just downstream of Locklin Pond Dam. It is probable that few lives would be lost in the event of a failure of Lake Paupackan Dam.

OPERATIONAL PROCEDURES

- 4.1 <u>Procedure</u>. Inflows to the reservoir are discharged through the spillway. There is no established procedure for operation of the outlet works.
- 4.2 <u>Maintenance of Dam</u>. Very little maintenance work has been performed in recent years. There are, apparently, no established maintenance procedures for the dam or appurtenant structures.
- 4.3 <u>Maintenance of Operating Facilities</u>. There are no established maintenance procedures for the outlet works facilities.
- 4.4 <u>Warning Systems in Effect</u>. There is no emergency operation and warning system in effect.
- 4.5 Evaluation of Operational Adequacy. The maintenance of the dam is inadequate. A program of formal annual inspections is necessary to detect potentially hazardous conditions at the dam. A detailed emergency operation and warning system is necessary to reduce the risk of dam failure should adverse conditions develop and to prevent loss of life should the dam fail.

HYDROLOGY AND HYDRAULICS

5.1 Evaluation of Features.

- a. Design Data. No hydrologic or hydraulic design information is available for Lake Paupackan Dam.
- b. Experience Data. The maximum recorded flood at the site occurred in August 1955 during which time the dam was overtopped by approximately one foot. The dam reportedly suffered no damage as a result of the overtopping.

c. Visual Observations.

- (1) General. The visual inspection of Lake Paupackan Dam, which is described in Section 3, resulted in a number of observations relevant to hydrology and hydraulics.
- (2) Embankment. The upstream slope of the embankment shows signs of erosion at the normal pool level. No localized low areas were observed on the top of the dam. The minimum top of dam elevation as determined during the field inspection is only 1.7 feet above the spillway crest.
- (3) Appurtenant Structures. The concrete entrance to the 36-inch CMP was blocked with debris, which reduces the capacity of the spillway. For the purpose of the hydrologic and hydraulic analysis, however, the pipe was assumed to be unobstructed.
- (4) Reservoir Area. As previously mentioned, the reservoir itself comprises about 14 percent of the watershed area. The watershed, which consists primarily of woods, contains no other lakes or impoundments.
- (5) <u>Downstream Conditions</u>. Locklin Pond is located approximately 1,500 feet downstream from Lake Paupackan Dam. The physical characteristics and proximity of the two dams are such that failure of Lake Paupackan Dam could cause failure of Locklin Pond Dam and subsequent flooding of one permanent dwelling downstream. Therefore a "significant" hazard classification has been assigned to Lake Paupackan Dam.

d. Overtopping Potential.

(1) Spillway Design Flood. According to the criteria established by the Office of the Chief of Engineers (OCE), the Spillway Design Flood (SDF) for the size

(intermediate) and hazard potential (significant) of Lake Paupackan Dam is between the one-half Probable Maximum Flood (1/2 PMF) and the Probable Maximum Flood (PMF). Since the dam and reservoir are on the low end of the intermediate size category, the 1/2 PMF was selected as the SDF. The watershed and reservoir were modeled with the U. S. Army Corps of Engineers' HEC-1DB comuter program, a description of which is included in Appendix D. The hydrologic and hydraulic assessment of the dam is based on existing conditions; the effects of future development were not considered.

- (2) <u>Summary of Results</u>. Pertinent results are tabulated at the end of Appendix D. The analysis reveals that Lake Paupackan Dam can pass only 19 percent of the PMF before overtopping of the dam occurs.
- (3) Spillway Adequacy. The criteria used to evaluate the spillway adequacy are described in Appendix D. Because Lake Paupackan Dam cannot pass the 1/2 PMF, the spillway capacity of the dam is rated as inadequate.

STRUCTURAL STABILITY

6.1 Evaluation of Structural Stability

a. Visual Observations.

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- (1) General. The visual inspection of Lake Paupackan Dam, which is described in Section 3, resulted in a number of observations relevant to structural stability. These observations are evaluated herein for the various features.
- (2) Embankment. As mentioned previously, the downstream slope and toe of the embankment were covered with uncompacted earth, tree slashings, and other woody material. Although this debris is not a structural hazard to the dam, it did hinder the visual inspection of the embankment and may have obscured structural deficiencies.

The eroded upstream slope is not, at the present time, a problem which seriously threatens the stability of the dam. It is, however, the type of problem which can lead to rapid deterioration of an earth dam if allowed to continue unchecked.

The seepage near the toe of the embankment is also the type of deficiency which can lead to rapid deterioration of an earth dam. However, since the point at which the seepage is exiting the embankment could not be observed, the degree to which the seepage is affecting the stability of the dam could not be ascertained.

- (3) Appurtenant Structures. The disintegrated lower spillway pipe is considered a definite threat to the stability of the embankment. This condition will result in erosion and transport of soil particles from the interior of the embankment and eventual collapse of that portion of the dam. The separation in the 48-inch CMP is not, at the present time, considered a threat to the structural stability of the dam.
- b. Design and Construction Data. There are no design or construction data for the dam or appurtenant structures.
- c. Operating Records. There are no formal records of operation. Based on available information, no serious stability problems are known to have occurred during the operational history of the dam.

- d. <u>Post-construction Changes</u>. The modifications and repairs made to the dam in the past have not had an adverse effect on the stability of the dam.
- e. Seismic Stability. Lake Paupackan Dam is located in Seismic Zone 1. Normally, it can be considered that if a dam in this zone has adequate factors of safety under static loading conditions, it can be assumed safe for any expected earthquake loading. However, since a major portion of the embankment could not be inspected, the seismic stability of the dam cannot be assessed.

ASSESSMENT, RECOMMENDATIONS, AND

PROPOSED REMEDIAL MEASURES

7.1 Dam Assessment.

a. Safety.

- (1) Based on available records, visual inspection, calculations, and past operational performance, Lake Paupackan Dam is judged to be in fair condition. Based on the size and hazard classification of the dam, the recommended SDF varies between the 1/2 PMF and the PMF. The 1/2 PMF was, in this case, selected as the SDF. The spillway and reservoir, under existing conditions, will pass approximately 19 percent of the PMF before overtopping of the dam occurs. The spillway is, therefore, rated as inadequate.
- (2) No obvious stability problems were observed at the dam. However, the downstream slope and toe of the dam were covered with uncompacted earth and debris and could not be inspected. There are a number of conditions at the dam which could rapidly develop into stability problems if allowed to go unchecked.
 - (3) Maintenance of the dam is inadequate.
- (4) A summary of the features and observed deficiencies is as follows:

Feature

Observed Deficiency

Embankment

Uncompacted earth and debris on downstream slope and toe; brush and small trees on downstream slope and top of dam; erosion and sloughing of upstream slope; seepage near toe.

Spillway

Lower spillway pipe disintegrated; upper spillway pipe separated at joint; entrance to lower pipe blocked with debris; erosion of weir and wing wall.

Outlet Works

Outlet pipes corroded (remainder of outlet works not inspected).

- b. Adequacy of Information. The information available is such that an assessment of the condition of the dam can be inferred from the combination of available information, visual inspection, past performance, and computations performed as part of this study.
- c. <u>Urgency</u>. The recommendations in Paragraph 7.2 should be implemented without delay.
- d. <u>Necessity for Further Investigations</u>. In order to accomplish the remedial measures outlined in Paragraph 7.2, further investigations by the Owner will be required.

7.2 Recommendations and Remedial Measures.

- a. The following studies and remedial measures, listed in approximate order of priority, are recommended to be undertaken by the Owner without delay:
- (1) Remove all debris, brush, and trees from the top of dam, downstream slope, and toe of the dam; and then perform an inspection of these areas. This inspection should include a close examination of the seepage described in this report. As a minimum, the seepage should be visually monitored. If a change in the seepage occurs or if any other deficiencies are noted during the inspection, take appropriate action as required.
- (2) Perform additional studies to more accurately ascertain the spillway capacity required for Lake Paupackan Dam as well as the nature and extent of measures required to provide adequate spillway capacity. Take appropriate action as required.
- (3) Perform additional studies to determine the extent of measures required to repair or reconstruct the existing spillway. This may be completed in conjunction with recommendation (2) above.
- (4) Determine the ability of the outlet works to draw down the reservoir to an appropriate level. If the existing outlet works cannot draw the pool down, develop a suitable means of drawing down the reservoir in case of an emergency. Any pipe that is placed through the embankment should be provided with an upstream closure facility.
- (5) Repair the eroded and sloughed areas on the upstream slope and provide adequate erosion protection.

- All investigations, studies, designs, and inspection of construction should be performed by a professional engineer experienced in the design and construction of dams.
- b. In addition, the Owner should institute the following operational and maintenance procedures:
- (1) Develop a detailed emergency operation and warning system for Lake Paupackan Dam. When warnings of a major storm are given by the National Weather Service, the Owner should activate the emergency operation and warning system.
- (2) During periods of unusually heavy rains, provide round-the-clock surveillance of the dam.
- (3) Initiate an inspection program such that the dam is inspected on a regular basis. As presently required by the Commonwealth, the inspection program should include a formal annual inspection by a professional engineer experienced in the design and construction of dams. Utilize the inspection results to determine if remedial measures are necessary.
- (4) Institute a maintenance program and develop a formal maintenance manual so that all features of the dam are properly maintained.

APPENDIX A

CHECKLIST - ENGINEERING DATA

CHECKLIST

NAME OF DAM: Lake Paupackan Dam

ENGINEERING DATA

NDI ID NO.: PA-00/40 DER ID NO.: 64-33

DESIGN, CONSTRUCTION, AND OPERATION PHASE I

Sheet 1 of 4

Math	REMARKS
AS-BUILT DRAWINGS	None available
REGIONAL VICINITY MAP	se Plate E-1 (Appendix E)
CONSTRUCTION HISTORY	Not avoilable
TYPICAL SECTIONS OF DAM	See Plate 6-2
OUTLETS: Plan Details Constraints Discharge Ratings	Discharge rating for spillumy is included in Appendix D; no other detailed information is available.

Sheet 2 of 4

ENGINEERING DATA

ITEM	REMARKS
RAINFALL/RESERVOIR RECORDS	None maintained at damsite.
DESIGN REPORTS	Report on Long Pond prepared by the Commonwealth in 1919 gives a brief description of the original structure.
GEOLOGY REPORTS	See Appendix F
DESIGN COMPUTATIONS: Hydrology and Hydraulics Dam Stability Seepage Studies	λοπ
MATERIALS INVESTIGATIONS: Boring Records Laboratory Field	None
POSTCONSTRUCTION SURVEYS OF DAM	None

Sheet 3 of 4

ENGINEERING DATA

ITEM	REMARKS
BORROW SOURCES	Unknown
MONITORING SYSTEMS	None
MODIFICATIONS	Brief descriptions of modifications performed during life of dam are included in files of Penn DEF.
HIGH POOL RECORDS	None maintained; maximum pool believed to have occurred August 1955 - water level one foot above dam.
POSTCONSTRUCTION ENGINEERING STUDIES AND REPORTS	None
PRIOR ACCIDENTS OR FAILURE OF DAM: Description Reports	None reported

ENGINEERING DATA

ITEM	REMARKS
MAINTENANCE AND OPERATION RECORDS	None
SPILLWAY: Plan Sections Details	See page D-7 (Appendix D)
OPERATING EQUIPMENT: Plans Details	None
PREVIOUS INSPECTIONS	17 July 1924 - Overall condition, fair.
Deficiencies Verificiencies	31 July 1930 - Considerable leakage under the stone masonry forming the spillway; debris at spillway catronce; spillway reconstructed since 1924 inspection; originally was a natural lake -30' deep.
	12 May 1951 - Considerable teatoge under spillumy spillumy entrance; 8" flashboards in spillumy general appearance-good.

ENGINEERING DATA

TEM	I REMARKS
PREVIOUS INSPECTIONS (CONTINUED)	18 April 1934- Seepage at left end; some local settlement along crest; general appearance-fair.
	10 August 1938 - Seepage at left end; no further settlement on crest; general appearance - fair.
	23 June 1948 - No apparent scepage; general appearance - excellent.
	Le March 1952 - Small amount of scepage near spillway; spillway concrete deteriorating; general appearance - good.
	12 March 1965 - General appearance - Ok.

APPENDIX B

CHECKLIST - VISUAL INSPECTION

CHECKLIST

VISUAL INSPECTION

PHASE I

DER ID No.: 64-33 Hazard Category: Significant Weather: Overcost windy Temperature: 30°F Snow flurics	vol Elevation at Time of Inspection: 1336.7 ft. msl/Tailwater at Time of Inspection: 1328.7 ft. msl/Tailwater at Time of Inspection: 1328.7 ft. msl/Tailwater at Time of Inspection: 1328.7 ft. msl/defection bersonnel: D. B. Wilson (4FCC) S. E. Holderbaum (4FCC) D. R. Ebersole (4FCC)	a fraction of the state of the
NDI ID No.: PA-00/40 Type of Dam: Earth fill Hazard Date(s) Inspection: // November 1980 Weather: Over 500	Pool Elevation at Time of Inspection: 1336.7 Note: Elovations referenced to me. Inspection Personnel: D.B. Wilson (4FCC) R.E. Holderbaum (4FCC) D.R. Ebersole (4FCC)	the Kill DO

EMBANKMENT

Sheet 1 of 2

VISUAL EXAMINATION OF	OBSERVATIONS	REMARKS OR RECOMMENDATIONS
SURFACE CRACKS	None observed	Asptalt road is located on crest of embontment.
UNUSUAL MOVEMENT OR CRACKING AT OR BEYOND THE TOE	Uncompacted earth, woody material and tree slashings cover downstream slope and toe of dam.	Could not inspect the area.
SLOUGHING OR EROSION: Embankment Slopes Abutment Slopes	Upstream slope - badly croded along entire Ungth, numerous stoughed oreas.	Unconsolidated random filli and debris deposited on damstream slope - could not inspect.
CREST ALIGNMENT: Vertical Horlzontal	No obvious problems observed.	Sec top of dam profile (Plate E-2, Appendix E)
RIPRAP FAILURES	Bock on upstream slope of woder line may be remnants of masonry wall.	Affords very little protection against emsion. Adequate erosion protection should be provided.

EMBANKMENT

Sheet 2 of 2

VISUAL EXAMINATION OF	OBSERVATIONS	REMARKS OR RECOMMENDATIONS
JUNCTION OF EMBANKMENT WITH: Abutment Spillway Other Features	No problems observed.	Rock avterop at left abutment of dam.
ANY NOTICEABLE SEEPAGE	sepage near toc of dam to fect left of £ of spillway and 25 fect from downstream edge of road.	Clar - approximately 20 gpm.
STAFF GAGE AND RECORDER	Молс	
Drains	None	
TREES AND BRUSH	small trees and brush are growning on downstream slope and the of dam; some brush on upstream slope.	should be removed periodically.

OUTLET WORKS

Sheet 1 of 1

REMARKS OR RECOMMENDATIONS Operability of outlet works should be investigated. Two - 16 inch pipes exiting value chamber are corroded. Discharges into spillway. Spillway (see UNGATED SPILLWAY) stel plate on top was locked in place - could OBSERVATIONS not inspect. Unknown CRACKING AND SPALLING OF CONCRETE SURFACES IN OUTLET CONDUIT **VISUAL EXAMINATION OF** OUTLET STRUCTURE INTAKE STRUCTURE OUTLET CHANNEL **EMERGENCY GATE**

UNGATED SPILLWAY

Sheet 1 of 2

Entrance to pipe is obstructed; REMARKS OR RECOMMENDATIONS outlet pipe should be replaced. Late; unobstructed; some of fines along pipe-rocks visible with little soil apparent. crosses over outlet pipes. deteriorated; possible loss Natural steam channel. Road on crest of dom crosion at 1eff end of concrete wall. OBSERVATIONS Bottom is completely VISUAL EXAMINATION OF LOWER OUTLET PIPE (36") DISCHARGE CHANNEL APPROACH CHANNEL BRIDGE AND PIERS CONCRETE WEIR

UNGATED SPILLWAY

2 to 2 tooks

REMARKS OR RECOMMENDATIONS should be repaired. Joint 8 fect from upstream and is separated 1 to 2 OBSERVATIONS inches. VISUAL EXAMINATION OF UPPER OUTLET PIPE (48")

INSTRUMENTATION

Sheet 1 of 1

VISUAL EXAMINATION OF	OBSERVATIONS	REMARKS OR RECOMMENDATIONS
MONUMENTATION/SURVEYS	Metal plate on top of concrete valve chamber.	Elevation 1338 feet MSL.
OBSERVATION WELLS	None	
WEIRS	Nonc	
PIEZOMETERS	None	
OTHER		

• • ; • ;

DOWNSTREAM CHANNEL

Sheet 1 of 1

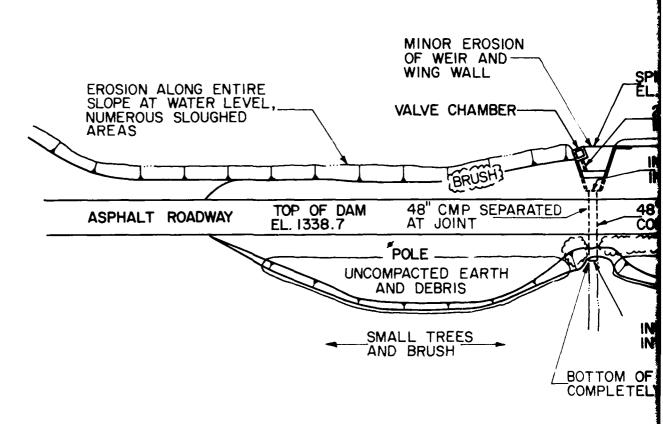
VISUAL EXAMINATION OF	OBSERVATIONS	REMARKS OR RECOMMENDATIONS
CONDITION: Obstructions Debris Other	No major obstructions downstream to Locklin Pand; stream valley is wooded.	
SLOPES	Channel bed stope is approximately 4% between uske Paupockan and Locklin Pond.	
APPROXIMATE NUMBER OF HOMES AND POPULATION	Locklin Pond Dam Located 1.3 miles downstream; one dwelling awald be flooded to significant depth by failure of bothin Pond Dam.	Locklin Pand Dem classified as "significant" hazard in previously completed phase I report.

RESERVOIR AND WATERSHED

Sheet 1 of 1

VISUAL EXAMINATION OF	OBSERVATIONS	PEMARKS OR RECOMMENDATIONS
SLOPES	Moderately sloping; wooded.	
SEDIMENTATION	Unknown.	Probably minor considering nature of watershed.
WATERSHED DESCRIPTION	Primarily undeveloped; mostly wooded.	Lake surface covers 14 percent of watershed.

LAKE PAUPACKAN



SCALE: I IN. = 40 FT.:

DATE OF INSPECTION: 11 NOVEMBER 1980

POOL ELEVATION: 1336.7 FEET

t



SPILLWAY CREST
EL. 1337.0

2-16" STEEL PIPES (CORRODED AT OUTLET)
INV. EL. 1330.3

INV. 48"- EL. 1332.2
INV. 2'x 4'- EL. 1329.3

48" CMP OVER 2'x 4' CONC. BOX
CONNECTED TO 36" CMP

UNCOMPACTED EARTH AND DEBRIS

UNCOMPACTED EARTH AND DEBRIS

INV. 48"- 1331.3
INV. 36"- 1327.7

BOTTOM OF 36" CMP IS
COMPLETELY DETERIORATED

I IN. = 40 FT. ±

40 80

PHASE I INSPECTION REPORT NATIONAL DAM INSPECTION PROGRAM

LAKE PAUPACKAN DAM

PAUPACKAN LAKE ESTATES

RESULTS OF VISUAL INSPECTION

MARCH 1981

EXHIBIT B-I

APPENDIX C
PHOTOGRAPHS

LAKE PAUPACKAN DAM



A. Upstream Slope to Right of Spillway

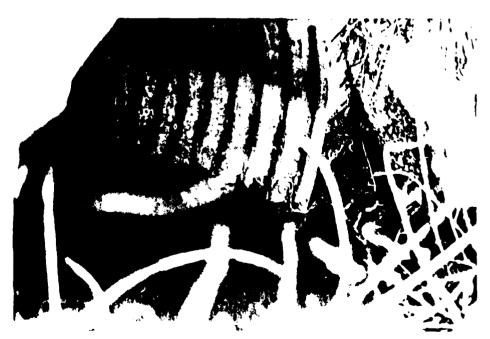


B. Downstream Slope and Toe

BAKE PAUPACKAN DAM



C. Exit End of Spillway Outlet Pipes



D. Lower Spillway Outlet Pipe

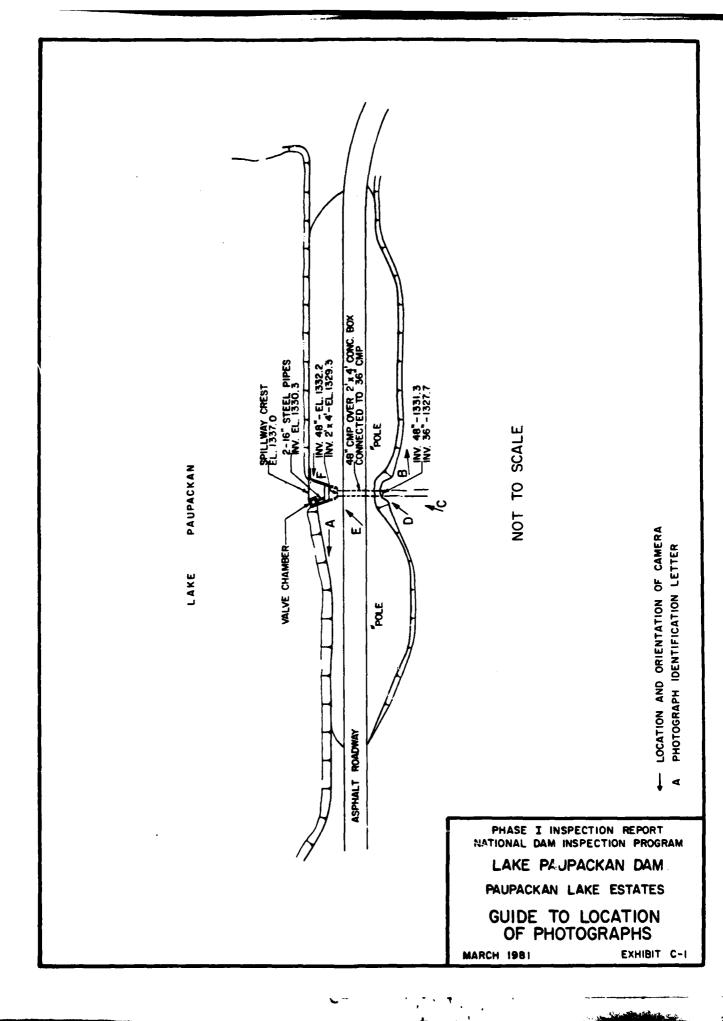
LAKE PAUPACKAN DAM



E. Spillway Entrance



F. Spillway Entrance and Upstream Slope



APPENDIX D HYDROLOGY AND HYDRAULICS

APPENDIX D

HYDROLOGY AND HYDRAULICS

Spillway Capacity Rating:

In the recommended Guidelines for Safety Inspection of Dams, the Department of the Army, Office of the Chief of Engineers (OCE), established criteria for rating the capacity of spillways. The recommended Spillway Design Flood (SDF) for the size (small, intermediate, or large) and hazard potential (low, significant, or high) classification of a dam is selected in accordance with the criteria. The SDF for those dams in the high hazard category varies between one-half of the Probable Maximum Flood (PMF) and the PMF. If the dam and spillway are not capable of passing the SDF without overtopping failure, the spillway capacity is rated as inadequate. If the dam and spillway are capable of passing one-half of the PMF without overtopping failure, or if the dam is not in the high hazard category, the spillway capacity is not rated as seriously inadequate. A spillway capacity is rated as seriously inadequate if all of the following conditions exist:

- (a) There is a high hazard to loss of life from large flows downstream of the dam.
- (b) Dam failure resulting from overtopping would significantly increase the hazard to loss of life downstream from the dam from that which would exist just before overtopping failure.
- (c) The dam and spillway are not capable of passing one-half of the PMF without overtopping failure.

Description of Model:

If the Owner has not developed a PMF for the dam, the watershed is modeled with the HEC-1DB computer program, which was developed by the U.S. Army Corps of Engineers. The HEC-1DB computer program calculates a PMF runoff hydrograph (and percentages thereof) and routes the flows through both reservoirs and stream sections. In addition, it has the capability to simulate an overtopping dam failure. By modifying the rainfall criteria, it is also possible to model the 100-year flood with the program.

APPENDIX D

_	DELA	WARE		River Basin
7	Name of Stream	: LAKE	VILLE CREEK	
Ŋ	Name of Dam: ,	LAKE PL	UPACKAN DAM	
Ŋ	NDI ID No.:	PA - 0014	0	
I	DER ID No.:	64-33		
Latitude:	N 41° 27.3'	L	ongitude: W 75	0/7.41
	Elevation:			
Streambed H	Elevation: 3	27.4±	Height of Dam:	// ft
Reservoir S	Storage at Top	of Dam	Elevation: //8	
Size Catego	ry: /NTERN	AEDIATE		<u></u>
Hazard Cate	gory: <u>5/6///</u>	CICANT	(se	Section 5)
Spillway De	esign Flood:	1/2 PAAR	TO PMF	bedoign)
Opilinaj D			PMF (SEE SECT	10.1 =
		435 76	PMF COLE SELI	IONSI
	11	POTERAM	DAMS - NONE	
	2	IDINDAM	DAMS - NONE	
	Distance		Storage	
	from		at top of	
	Dam	II a f a b f	Dam Elevation	
No.		Height		Damanlas
<u>Name</u>	<u>(miles)</u>	(ft)	<u>(acre-ft)</u>	<u>Remarks</u>
				
	DO	WNSTREAM	DAMS	
LOCKLIN				
POND DAM	1.3	13	448	DER 10 64-31
LAKE	2.2 TO		214, 800 AT	
WALLENPAU PAG		66	NORMAL POOL	DER 10 52-51
				

			DELA	WAKE			TAGE DS	ISIN	
	Name	of St	ream	: LAK	EVILLE	CREEK			
	Name	of Da	am :	PAUPAC	CKAN L	AKE DA	M		
	DETERM	INATIO	ON OF	PMF RA	INFALL	& UNIT	HYDROGE	<u>RAPH</u>	
			UNI	T HYDRO	GRAPH D	ک) :ATA	EE NOTE	BELOW	,,)
	Drainage	1		_					-)
Sub-	Area	Cp	Ct	L	Lca	L'	Tp	Map	Plate
area	(square			miles	miles	miles	hours	Area	
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	The follow								parea:
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	(4): Leng								
	The follow				from th	ie upstr	eam end	of the	ne
	reservoir	at no	rmal	pool:					
	(5): Leng	gth of	mai	n water	course	extende	d to di	vide	
	(5): Leng (6): Tp=0	C _t x ((L x)	L _{ca}) "•	ر, exce	pt wher	e the c	entro	id of
	the subare	ea iş	ļoca	ted in	the res	ervoir.	Then		
	$Tp=C_t \times (1$	(') U	6						
Initi	al flow is	s assu	med	at 1.5	cfs/sq.	mile			
	ter Data:						w)		
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NOTE: UNIT HYDROGRAPH DATA WAS TAKEN FROM THE PHASE I REPORT FOR LOCKLIN POND DAM.

A-1 -AKE PAUPACKAN WLTELSHED -BOUNDARY LAKE PAUPACEAN DAM SKETCH OF SYSTEM

pata for Dam at Out	let of Subar	ea <u>A-/</u> (S	ee sketch on	Sneet 0-4)
Name of Dam: LAKE	E PAUPACKA	N DAM		
STORAGE DATA:				
	Area	Stor	age	
Elevation	(acres)	gals	acre-ft	Remarks
/327.4 =ELEVO /337.0 =ELEV1 /338.7	0 239 =A1	0	0 <u>765</u> =S1 <u>7188</u>	STREAMBED AT TOE NORMAL POUR TOP OF DAM
1346.0	353			ESTIMATED WILE: GUAD)
Reservoir Area watershed. BREACH DATA: NO A See Appendix B	BREACH ANAL	YSIS REQ	UIRED	
Soil Type from Visu			and profit	or cite dam.
Maximum Permissible (from Q = CLH ³ /2 =	Velocity (P	late 28, E	M 1110-2-160 x H) & A = I	fps depth
$HMAX = (4/9 V^2/C)$	(2) =	ft., C =	Top of	Dam E1.=
HMAX + Top of Da (Above is elevation		ilure woul	= FAILEL d start)	
Dam Breach Data:				
BRWID = Z = ELBM =	(botto	slopes of	breach) h elevation,	minimum of
WSEL =T FAIL=	(norma	l pool ele	vation) (time for b develop)	ereach to

Data for Dam at Outlet of Subarea	A-/	
Name of Dam: PAUPACKAN LAKE L	DAM	
SPILLWAY DATA: SEE NEXT	Existing	Design
TWO SHEETS	Conditions	Conditions
Top of Dam Elevation		
Spillway Crest Elevation		
Spillway Head Available (ft)		
Type Spillway "C" Value - Spillway		
Crest Length - Spillway (ft)		
Spillway Peak Discharge (cfs)		***************************************
Auxiliary Spillway Crest Elev.		
Auxiliary Spill. Head Avail. (ft)		
Type Auxiliary Spillway		
"C" Value - Auxiliary Spill. (ft)		
Crest Length - Auxil. Spill. (ft)		
Auxiliary Spillway Peak Discharge (cfs)		
Combined Spillway Discharge (cfs)		
Spillway Rating Curve: FROM SHEE		
•	xiliary .lway (cfs) Co	mbined (afe)
/337.0	.Iway (CIS) CO	o (CIS)
1337.5		14
/338.0		40
/338.5		279
1339.0		289
1340.0		310
1341.0		329
/342.0		347
		<u> 364</u> 410
		410
		······
OUTLET WORKS RATING: Outlet 1	Outlet 2	Outlet 3
(USED AS SPILLWAY)		
Invert of Outlet /33/.3	1327.7	
Invert of Inlet	4	
Type Diameter (ft) = D $\frac{CMP}{4.0}$	CMP	
Diameter (ft) = D $\frac{4.0}{30}$ Length (ft) = L $\frac{30}{30}$	<u> 3.0</u> 30	
Area (sq. ft) = A $\frac{30}{2.57}$	7.07	
N 0.074	0.024	
K Entrance 0.5	0.5	
K Exit	1.0	
K Friction=29.1 N^2 L/R ^{4/3} 0.50	0.74	
Sum of K	2.24	
$(1/K)^{0.5} = C$ Moratum Hood (6t) = UM [0.71	0.67	
Maximum Head (ft) = HM \bigcirc Q = CA $\sqrt{2g(HM)(cfs)}$ SEE SHEET	22 2 2 4	
Q = CAV 2g(nm)(cls) SEE SHEET Q Combined (cfs)	D-7 \$ D-8	

CHKD BY	DATE	SUBJECT LAKE PAUPACKAN DA. SPILLWAY	SHEET NO OF_
			
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		SPILLWAY PLAN	
		(NOT TO SCALE)	
		The second section is a second	
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	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	36" CMP	
	1327.7 —	1 - 2' HIGH X 4' WIDE	
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		SPULWAY PROFILE	
		SPILLWAY PROFILE	
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		C=	3./
	.1		
	High P	pol control: (see previous she	et).
i			
		1	
			
	Note:	The above information was tal	ken from
		the Phase I report for Locklin	
		elevations were revised to reflect	more accurate
i		information obtained during the	
		0.7	1

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CHKD BY			<u>Paupackan</u> Pillway	•	SHEET NO	
						
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	36" CM F	?: Q3 06	7 (7.07) 56	4.36 (POOL-	(329.Z)	**
eren en samer som er		Q: 38.	0 N POOL - 132	29.2		
		Q ₃ 38.	0 \PooL - 132	29.2		
	Pool		<u>.</u>]	
	Pool Ekv.		Q 4+3	QSPILLWAY		
	Elev.	Low flow	<u>.</u>			
	EkV.	Low flow	Q4+3 O			
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D-8

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Summary of Peak Flows

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PEAK FLOW AND STRRACE (END OF PFFIOD) SUMMARY FOR MULTIPLE PLAN-PATIO FCCNOME COMPUTATIONS FLOWS IN CUPIC FEET PER SFCOMD (CURIC MFTERS PEK SFCOND). AREA IN SQUAKE MILES (SQUARE KILOMETERS)

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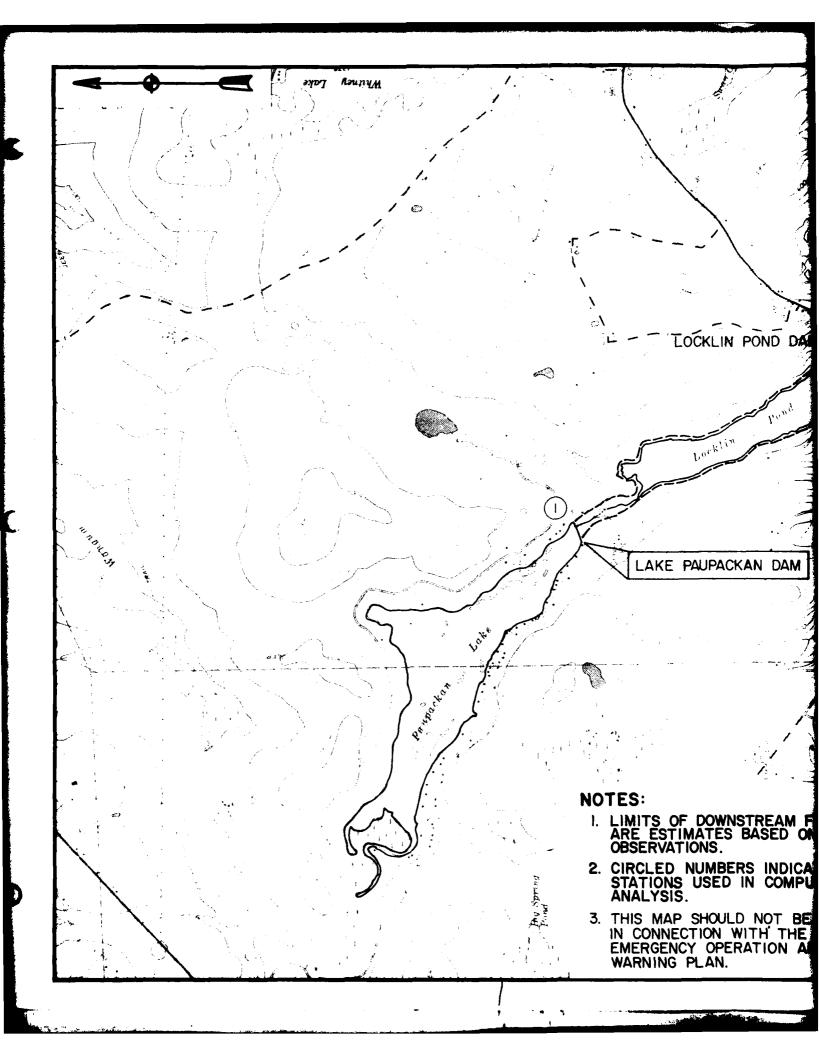
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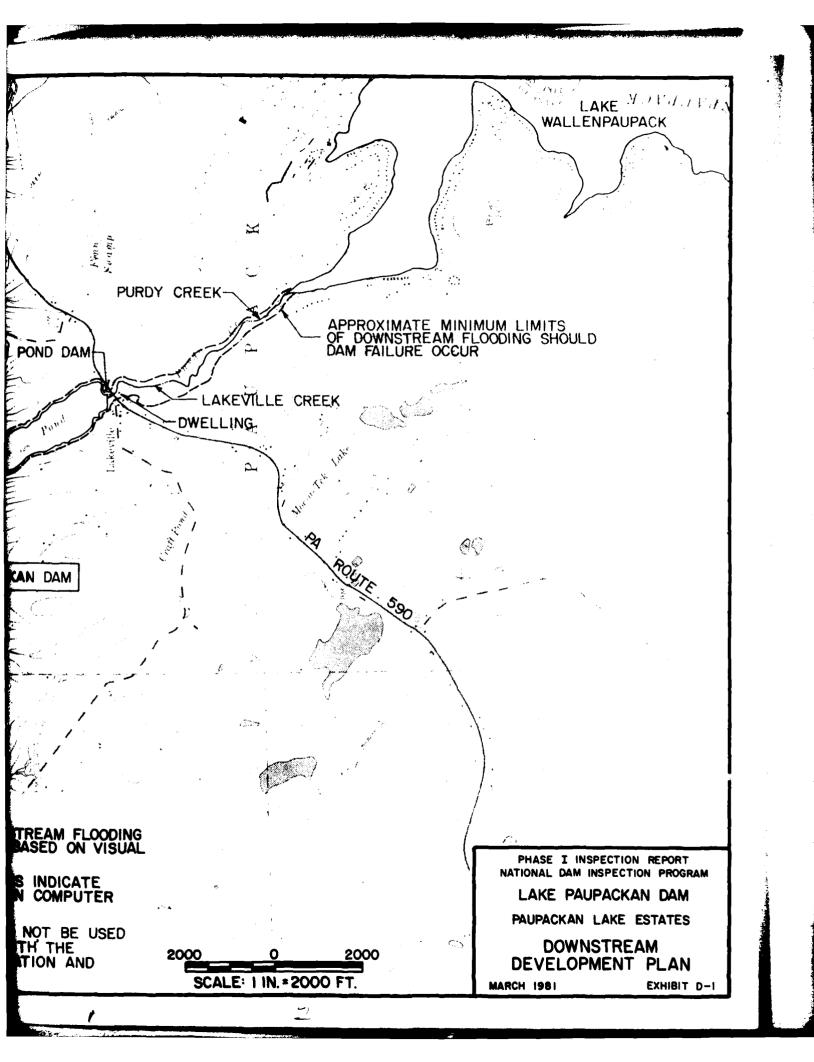
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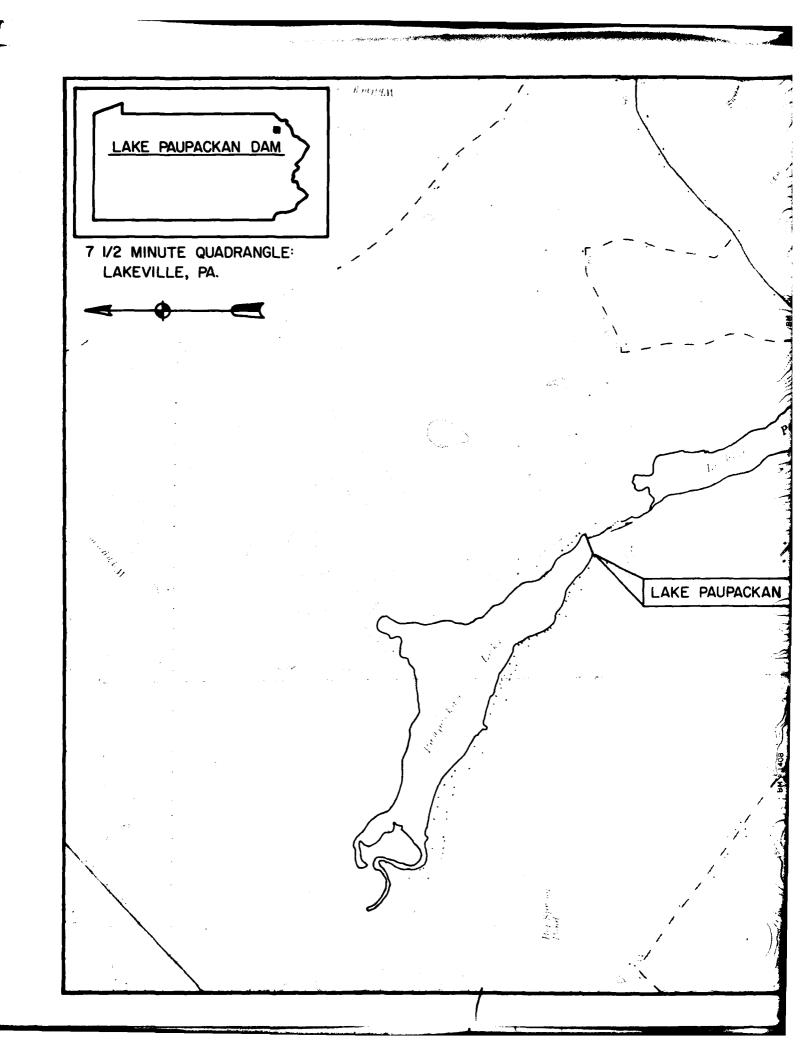
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		SUMMARY OF	PERTINENT A	ESULT:	<u> </u>
	Multi	ratio Analy	<u>5/\$</u> :		-
	Paia fa	Il (inabes)		PMF 24.42	1/2 PMF
	Runof	l/ (inches) f_unches)		22.37	11.19
		Inflow (cfs)		5393	2697
		Outflow lefs)	4453	1725
	Depth	of Overtoppion of Overt	oing (ft.)	241	1.33
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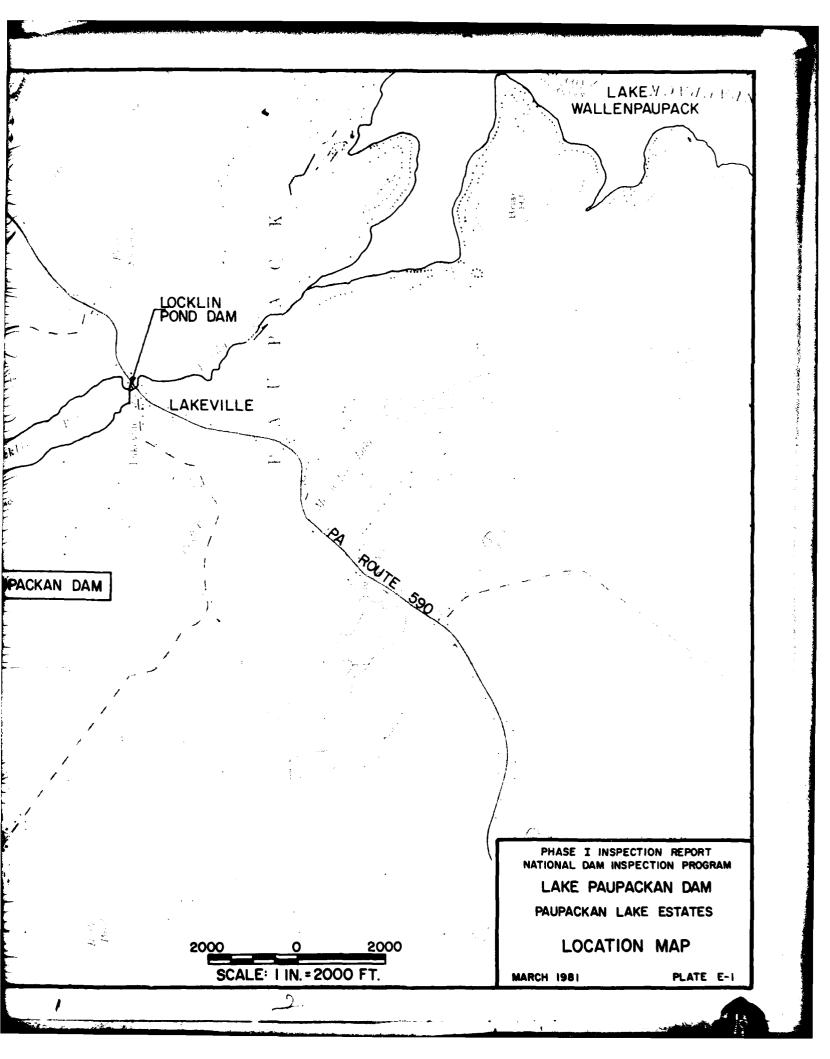
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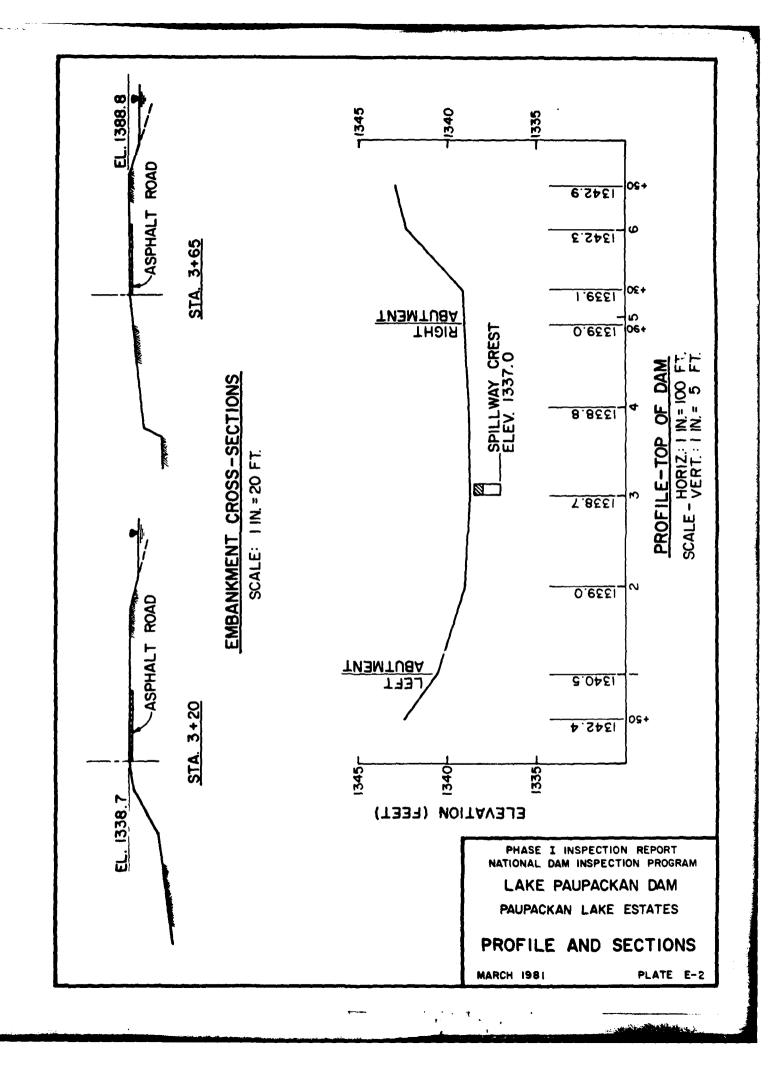




APPENDIX E
PLATES







APPENDIX F
GEOLOGY

LAKE PAUPACKAN DAM

APPENDIX F

GEOLOGY

Lake Paupackan Dam is located in Wayne County within the Appalachian Plateau Physiographic Province. The most pronounced topographic feature in the area is Camelback Mountain, which is part of the Pocono Plateau Escarpment. The escarpment has a well-defined, southwestward trend from Camelback Mountain; but it is irregular between Camelback Mountain and Mt. Pocono, which lies to the north. Streams east of the escarpment drain directly to the Delaware River, while those to the west drain to the Lehigh River.

The Pocono Plateau Section lies to the west of the escarpment. This area is relatively flat, with local relief seldom exceeding 100 feet. The topography has been greatly influenced by continental glaciation. Many features were created by deposition of glacial materials. The entire plateau lacks well-developed drainage.

East of the escarpment is the Glaciated Low Plateaus Section of the province. This area is characterized by preglacial erosional topography with locally-thick glacial deposits. Local relief is generally 100 to 300 feet.

Bedrock units of the sections described above are the lithified sediments of offshore marine, marginal marine, deltaic environments, and fluvial environments associated with the Devonian Period. These units include siltstones of the Mahantango Formation, siltstones and shales of the Trimmers Rock Formation, and seven mapped members of the Catskill Formation. These members include sandstones, siltstones, and shales of the Towamensing Member; sandstone, siltstone and shale of the Walcksville Member; sandstones, siltstones and shale of the Beaverdam Run Member; sandstone and shale in the Long Run Member; sandstones and conglomerates in the Packerton Member; and sandstones and conglomerates in the Duncannon Member.

Lake Paupackan Dam is underlain by the Catskill Formation. The Catskill Formation is predominantly red to brownish gray shales and sandstone with interbedded siltstones and conglomerates. Sandstones present are thick-bedded, fine- to coarse-grained and exhibit very low primary porosity due to a clay and silica matrix. Effective porosity results from fractures and parting planes.

The rocks are well-indurated and generally are not susceptible to slope failure; however, the presence of well-developed bedding and joint planes will result in some rockfall from vertical and high-angle cut slopes.

Bedrock is entirely overlain by glacial till of Late Wisconsin Age. This till is an unsorted mixture of clay, silt, sand, and gravel. It is moderately cohesive and is generally derived locally from the sandstones of the Catskill Formation. Thickness of the till varies from 5 to 75 feet.

